AUG 0 7 2003

FORM PTO-1449 (Modified)

LIST OF INFORMATION PROPERTY BY APPLICANT

ATTY. DOCKET NO. TH-2106 (US) APPLICATION NUMBER 09/910,696

APPLICANT

Ronald M. Bass et al.

(Use several sheets if necessary)

FILING DATE 7/20/2001 **GROUP ART UNIT** 3672

REFERENC	CE DES	IGNATION	U.S. PA	ATENT DOCUMENTS	<u> </u>		
Examiner Initial		Document No.	Date	Patentee	Class	Subclass	Filing Date if Appropriate
11	AA	972,308	10/11/1910	Williamson			
7	AB	1,231,202	6/26/1917	Saylor			
	AC	2,096,279	10/19/1937	Karcher	255	28	
	AD	2,178,720	2/23/1938	Daniels	219	47	
	AE	2,206,831	3/7/1938	Berthelsen	74	440	
	AF	2,217,857	4/17/1937	Byck	166	21	
	AG	2,224,403	12/10/1940	Lines	219	39	
	AH	2,306,831	12/29/1942	Proctor	219	39	
-/1	AI	2,660,249	11/24/1953	Jakosky	166	17	

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		Document No.	Date	Country	Class	Subclass	Trans Yes	<u>Slation</u> No
7	AL	103,122	13/4/1937	wo	27.8	07.6	X	
1	AM	1,070,907 + Abst.	24/01/01	EP	F16L59	14		х
	AN	1,359,445	4/3/1972	wo	F16L25	00	Х	
1	AO	1,437,587	31/7/1974	wo	F16L 13	10	х	

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

AR

"Cable-Free Electrical Systems for the Oil to Gas Industry," Production Technologies Company, L.L.C., 600 Kenrick Suite C-30; Houston, Texas 77060, advertisement, Copyright 1996, 6 pp.

AS

"Dunbar in Depth," Offshore Engineer, Dec. 1994, 2 pp.

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DATE CONSIDERED

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- 1. The attached cited information should not be construed as an admission that any of the above items are prior art to the subject invention.
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APPLICATION NUMBER 09/910,696

APPLICANT

Ronald M. Bass et al.

FILING DATE (Use several sheets if necessary) 7/20/2001

GROUP ART UNIT 3672

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REFERENC	E DES	IGNATION	U.S. P.	ATENT DOCUMENTS				71 11 11 11 11
Examiner Initial		Document No.	Date	Patentee	Class	Subclass		Date if opriate
1	BA	2,678,377	5/11/54	Justiz	219	40		
1	BB	2,714,930	8/9/1955	Carpenter	166	60		
	BC	2,757,738	8/7/1956	Ritchey	166	39	1	
	BD	2,851,197	9/9/1958	Colton	222	146	-	<u>.</u>
	BE	2,982,354	4/26/1957	Green	166	60	1	
+	BF	3,184,958	5/25/1965	Eaton	73	40.5		
-/	BG	3,388,724	6/18/1968	Mowell et al.	138	149		
$\overline{}$	ВН	3,423,570	1/21/1969	Trabiley	219	301	<u> </u>	
#	BI	3,432,186	3/11/1969	Braun	285	53		
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		Document No.	Date	Country	Class	Subclass		slation No
7	BL	1,532,730	18/10/1976	wo	F16L 25	01	x	
7	ВМ	2,084,284	7/4/1982	GB	F16L 53	00	х	
71	BN	569,815 + Abst.	16/09/77	SU	F3013A	27		х
// :	O'	THER DOCUMENTS	(INCLUDING	AUTHOR, TITLE, DATI	E, PERTINENT	PAGES, ETC	.)	4.77
7	BR	"Introduction to Dire Norge and EFI, Feb.		bsea Pipelines,"overview b	oyStatoil, Saga P	etroleum, CSO	Norge, A	Icatel, Ka
7	BS	"Monolithic Pipeline advertisement, Nov.		ion Joints," HydroTech Sy	stems, Inc., Eng	ineered Pipelin	e Product	S,
A	ВТ			(DPIS) Designed by Snamilan, Italy, advertisement, 6		progetti Offshor	re Division	n, Viale de
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APPLICANT

Ronald M. Bass et al.

FILING DATE

GROUP ART UNIT

7/20/2001 3672

REFERENC	E DES	IGNATION	IIS PA	TENT DOCUMENTS	1	·	
Examiner Initial,		Document No.	Date	Patentee	Class	Subclass	Filing Date if Appropriate
12/	CA	-3,439,075	4/15/1969	Bauer et al.	264	45	
	СВ	3,507,330	4/21/1970	Gill	166	248	RECEIVED
<u> </u>	CC	3,515,837	6/2/1970	Ando	219	10.49	AUG 1 1 2003
	CD	3,547,193	12/15/1970	Gill	166	248 UFI	CE OF PETITIONS
	CE	3,556,218	1/19/1971	Talley, Jr. et al.	166	265	
	CF	3,605,888	9/20/1971	Crowson et al.	166	248	
	CG	3,614,986	10/26/1971	Gill	166	303	
	СН	3,620,300	11/16/1971	Crowson	166	248	
	CI	3,630,038	12/28/1971	Ando	61	72.1	
	CJ	3,642,066	2/15/1972	Gill	166	248	
1	CL	3,706,872	12/19/1972	Trabilcy	219	300	
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		Document No.	Date	Country	Class	Subclass	Translati n Yes No
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7	CR			hermo Systems Technology, I rood, Ohio 44122, Copyright			ark Square, 23200
7	СТ			our Well's Full Potential Pl s 77032, advertisement, Copyr			nc. (PTI), 15423
EXAMINER	7	1 2	2	DATE CONSIDERED	3/	8/14	

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APPLICANT Ronald M. Bass et al.

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REFERENC	E DES	IGNATION	U.S. PA	ATENT DOCUMENTS		"	
Examiner Initial		Document No.	Date	Patentee	Class	Subclass	Filing Date if Appropriate
11	DA	3,789,188	1/29/1994	Rudbarg	219	301	
1/	DB	3,859,503	1/7/1975	Palone	219	278	
	DC	3,885,595	5/27/1975	Gibson et al.	138	155	
	DD	3,933,031	1/20/1976	Uhlarik	73	40.5 R	
	DE	3,935,632	2/3/1976	Maxson	29	455 R	
	DF	3,944,262	3/16/1976	Stiner et al.	285	53	
_/	DG	3,958,636	5/25/1976	Perkins	166	248	
$\overline{}$	DH	3,975,617	8/17/1976	Othmer	219	300	
4	DI	3,981,181	9/21/1976	Ochiai	73	40.5 R	
//-		 1	FOREIGN	PATENT DOCUMENTS			
		Document No.	Date	Country	Class	Subclass	Translation Yes No
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	O	THER DOCUMENTS	INCLUDING	AUTHOR, TITLE, DATE	, PERTINENT	PAGES, ETC.)	
1	DR	"Tubing Casing Wire	eless Telemetry (Tucas System),"Schlumberg	ger web page (1	993?/ Jun. 1996), 16 рр.
7	DT	A. Anselmi et al., "T vol. 5, Pipeline Tech		Jnderwater Technology in t 1994, pp. 69-76.	he Field of Trac	ed Insulated Pip	pelines," 1994 OMAE
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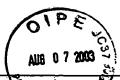
LIST OF INFORMATION PROVIDED BY APPLICANT APPLICANT Ronald M. Bass et al.

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GROUP ART UNIT 3672

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Examiner Initial		Document No.	Date	Patentee	Class	Subclass	Filing Date if Appropriate
-11	EA	3,983,360	9/28/1976	Offerman	219	301	
7	EB	4,010,799	3/8/1977	Kern et al.	166	248	
	EC	4,011,652	3/15/1977	Black	29	455 R	
	ED	4,017,102	4/12/1977	Henderson	285	41	
	EE	4,140,179	2/20/1979	Kasevich et al.	166	248	
	EF	4,142,093	2/27/1979	Offerman	219	301	
1	EG	4,319,632	3/16/1982	Marr, Jr.	166	60	
	EH	4,401,162	8/30/1983	Osborne	166	248	
$\overline{}$	EI	4,450,711	5/29/1984	Claude	73	40.5 R	
1	EJ	4,496,174	1/29/1985	Mcdonald et al.	285	53	
	,		FOREIGN	PATENT DOCUMENTS		<u>.</u>	
		Document No.	Date	Country	Class	Subclass	Translation Yes No
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	O	THER DOCUMENTS (INCLUDING A	UTHOR, TITLE, DATE, I	ERTINENT	PAGES, ETC.)).
7	ER	Anonymous, "Insulated www.bayoupip.com/4N	Pipe – Precision News/Newslwtter	Engineering, June 2000, Th 0 8-4.thm, 2 pages.	e Bayou Bulle	etin, Issue #8 from	m
7	ES	Anonymous, "Insulated Issue 20, page 61.	Technique to se	e first use in North Sea Deve	lopment'', Ma	y 17, 1993, Oil a	and Gas Journal vol. 91,
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Examiner Initial		Document No.	Date	Patentee	Class	Subclass	Filing Appro	Date if priate
1	FA	4,522,578	6/11/1985	Jameson et al.	425	110		
7.	FB	4,553,023	11/12/1985	Jameson et al.	219	301		
	FC	4,590,971	5/27/1986	Webster	138	149		
	FD	4,644,780	2/24/1987	Jeter	73	40.5		-
	FE	4,645,906	2/24/1987	Yagnik et al.	219	301		
	FF	4,667,505	5/26/1987	Sharp	73	40.5 R		
	FG	4,684,786	8/4/1987	Mann et al.	219	297		
$\overline{}$	FH	4,716,960	1/5/88	Eastlund et al.	166	60		· · · · · · · · · · · · · · · · · · ·
1	FI	4,768,455	9/6/88	Maxson et al.	114	264		
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		Document No.	Date	Country	Class	Subclass	Trans Yes	lation No
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1	FR	B. J. Eastlund et al., "	New System Sto	ps Paraffin Buildup," Petrole	eum Enginær,	Jan. 1989, 3 pp) .	-
7	FS	Brochure -Combi Pipe	e -the end of clos	gged pipelines, Alcatel Contr	racting Norwa	y and Aker Eng	ineering, 7	pp.
EXAMINER	1	n=e/-	50	DATE CONSIDERED	3/	8/04		

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REFERENC	E DES	IGNATION	U.S. PA	TENT DOCUMENTS	No. 19 P						
Examiner Initial	,	Document No.	Date	Patentee	Class	Subclass	Filing Date if Appropriate				
7/	GA	4,831,324	5/16/1989	Asakura et al.	324	57 R					
1	GB	4,839,644	6/13/1989	Safinya et al.	340	854					
	GC	4,861,074	9/29/1989	Eastlund et al.	285	53					
	GD	4,874,925	10/17/1989	Dickenson	249	301					
	GE	4,970,467	11/13/1990	Burnett	324	637					
	GF	4,992,001	2/12/1991	Harrison	405	166					
	GG	4,996,879	3/5/1991	Kruka et al.	73	592					
	GH	5,072,622	12/17/1991	Roach et al.	73	40.5 R					
,	GI	5,172,730	12/22/1992	Driver	138	104					
1	G)	5,189,374	2/23/1993	Burnett	324	534					
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7	GR			st -Compressed Gas Insulate treet, Westborough, MA 015			ype CGIT, ABB				
7	GS	Block 194 to Southwe	C. G. Langer, "Engineering Report—1979 Construction of the Cognac 12-inch Pipeline From Mississippi Canyon Block 194 to Southwest Pass East Bay Central Facilities, Offshore Louisiana, "ES No. 74-82, Job No. 560513, Shell Oil Company, Pipeline Construction Department, *, 6 pp.								
EXAMINER	Z			DATE CONSIDERED	3/8	104					

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FILING DATE 7/20/2001

GROUP ART UNIT 3672

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REFERENC	E DES	IGNATION	U.S. PA	TENT DOCUMENTS	-					
Examiner Initial		Document No.	Date	Patentee	Class	Subclass	Filing Date if Appropriate			
11	HA	5,270,661	12/14/1993	Burnett	324	527				
	HB	5,289,561	2/22/1994	Filho	392	478				
	HC	5,421,675	6/6/1995	Brown et al.	405	170				
	HD	5,464,307	11/7/1995	Wilkins	405	166				
	HE	5,490,562	2/13/1996	Arnold	166	267				
	HF	5,605,798	2/25/1997	Koster	435	6				
	HG	5,801,953	9/1/1998	Thoma et al.	364	487				
$\overline{}$	НН	5,836,719	11/17/1998	Martin et al.	405	166				
4	ні	5,905,194	5/18/1999	Strong	73	40.5				
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7	HS	Compressed Gas Insu MA, US 22 pages.	lation Transmiss	ion Bus Ducts, ABB Powe	r T&D Compan	y, CGIT/PB Di	vision, Westborough,			
× //	нт		PeLuca, "Field of Dreams", April 1, 2001, Offshore Engineering, form www.online.com/news/features/oe/2001-401.Fields-0.520.asp , 9 pages.							
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FORM PTO-1449 (Modified)

ATTY. DOCKET NO. TH-2106 (US) APPLICATION NUMBER
09/910,696 BECFIVED

APPLICANT

Ronald M. Bass et al.

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(Use several sheets if necessary)

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GROUP ART UNIT

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				7/20/2001	3672	OFFICE	OF PETITIONS
REFERENC	E DES	IGNATION	U.S. PA	TENT DOCUMENTS			
Examiner Initial	_01	Document No.	Date	Patentee	Class	Subclass	Filing Date if Appropriate
11	IA	6,000,438	12/14/1999	Ohrn	138	149	
-/-/	IΒ	6,049,657	4/11/2000	Sumner	392	469	
	IC	6,058,979	5/9/2000	Watkins	138	149	
-/-	ID	6,114,857	9/5/2000	Kohl	324	534	
7	ΙE	6,179,523	1/30/2001	Langner et al.	405	169	
11	IF	6,278,095	8/21/2001	Bass et al.	219	629	
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7	IR	F. Aarseth and E. Ben	tsen, "Heating o	f Pipelines, and Power Sup Knutsen, Alcatel Contractin	ply to Subsea E	lectrical Equipo	nent," Aker
7	IS	F. R. Newbold and T. Technology, JulSep.		ellbore Transmission of Ele pp. 3-52.	ectrical Power,",	The Journal of	Canadian Petroleum
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Examiner Initial		Document No.	Date	Patentee	Class	Subclass	Filing Date if Appropriate
1	JA	6,292,627	9/18/2001	Gilchrist, Jr. et al.	392	311	
	JB	6,305,429	10/23/2001	Welch et al.	138	149	
	JC	6,315,497	11/13/2001	Wittman et al.	405	158	
	Œ	6,364,401	4/2/2002	Kim	296	194	
	Æ	6,371,693	4/16/2002	Kopp et al.	405	158	
	JF	6,382,259	5/7/2002	Codling	138	149	
	JG	6,509,557	1/21/2003	Bass	219	772	·
	ЛH	US 2003/0015436 A1	1/23/2003	Bass et al.	205	740	
-/	л	US 2003/0015519 A1	1/23/2003	Stone, Jr.	219	494	
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		Document No.	Date	Country	Class	Subclass	Translation Yes No
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7	JR	Paraffin Control," Pape	r No. PCIC-90	astlund, "Evaluation of Down -34 presented at Industry App on, Texas, Sep. 10-12, pp. 223	lications Soc		
7	JS	Fred S. Epstein and Gar 118.	ry L. White, "U	Inderstanding Impedance Hea	ting,"Chemic	al Engineering,	May 1996, pp. 112-

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Polyethers"; Interscience Publishers: New York-London-Syndey, pp. 9-80.

Gaylord, N.G., Gaylord Associates, Newark, New Jersey, "Polymers -Part I. Polyalklene Oxides and Other

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FORM PTO-	1449 (M	lodified) PANFUNY		ATTY. DOCKET NO. TH-2106 (US)		APPLICATION NUMBER 09/910,696			
LIST OF INFORMATION PROVIDED BY APPLICANT			APPLICANT Ronald M. Bass et al.						
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REFERENC	E DESI	GNATION	U.S. PA	TENT DOCUMENTS					
Examiner Initial		Document No.	Date	Patentee	Class	Subclass	Filing Date if Appropriate		
1/2	KA	US 2003/0016028 A1	1/23/2003	Bass	324	642			
15	КВ	US 2003/0017007 A1	1/23/2003	Bass et al.	405	154.1			
1	KC	US 2003/0020499 A1	1/30/2003	Bass	324	721			
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		Document No.	Date	Country	Class	Subclass	Translation Yes No		
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7	KS	"General Product Speci advertisement, pp.	ficationPipeli	ne Insulating Joint," HydroT	ech Systems,	Engineered Pipe	line Products,		
	KT	H. Collins and M.A.R. Journal", Sept. 24, 1990		Reported in Design of Reela	ble Insulated	Subsea Flow Lin	es," Oil and Gas		
	KU	K. H. Akfhampour, "A System", Paper no. PCI		ch to Solving Downhole Fluido.	d Flow Proble	rns by Use of an	Electric Heating		
	KV			. Delft. The Netherlands, "Pirk-Tokyo, 1990; pp. 641-653		olymers", 3.sup.r	d Edition (Revised),		
	KW	M. I. Mollison, "Foam 80-82.	Insulation Gets	First Reeled Installation off	Australia," O	il and Gas Journ	al, May 18, 1992, pp.		
7	кх	Monobloc Insulating Jo	ints Type "IK"	•					
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FORM PTO	-1449 (N	Iodified)	, with	ATTY. DOCKET NO. TH-2106 (US)	APPLICATION NUMBER 09/910,696					
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•	LL									
	O'	THER DOCUMENTS (I	NCLUDING A	L LUTHOR, TITLE, DATE, P	ERTINENT	PAGES, ETC.)				
1	LM	N. B. Carson, "A New M	lethod for Hea	at Tracing Long Pipelines," A Dallas, Texas, Sep. 15-18, 197	SME, 74-Pet					
	LN	Protest Document, Dec. 8, 1987 letter of Andrew W. Marr, Jr. to the Assistant Commissioner of Patents requesting issuance of U.S. Patent 4,716,960 available in the file history as of January 5, 1988.								
	LO	R. Wash, "Electromagnetic Energy Helps Recovery," Gulf Coast Oil World,, Jun. 1986, pp. 18-19.								
	LP	"Taking Induction Heating Underwater,"Process Heating, Jul./Aug. 1995, 1 p.								
41	LQ	The Electrothermic Co. (TEC), 4916 Bear Lane, P.O. Box 4227, Corpus Christi, TX 78408 advertisement/sales brochure, 4 pp.								
EXAMINER	-			DATE CONSIDERED	3.70	7 /				

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

- 1. The attached cited information should not be construed as an admission that any of the above items are prior art to the subject
- 2. This is not a representation that a search has been made.

AUG 0 7 2003

FORM PTO-1449 (Modified)

(Use several sheets if necessary)

LIST OF INFORMATION PROVIDED

BY APPLICANT

ATTY. DOCKET NO. TH-2106 (US) APPLICATION NUMBER 09/910,696

APPLICANT

Ronald M. Bass et al.

FILING DATE

GROUP ART UNIT

				7/20/2001	3672					
REFERENC	E DESI	GNATION	U.S. PAT	ENT DOCUMENTS						
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1	ME	Anecdote – "Thermo S filed on June 22, 2000 Maintaining Well Fluid	for US Patent Ap	ver Crossings" discussed oplication SN 08/921,737, In Subsea Pipeline."	in Supplementa , filed August 2	al Information D 7, 1997, entitled	isclosure S , "Method	Statement for		
	MF	Anecdote – "Fuel Oil Between Buildings" discussed in Supplemental Information Disclosure Statement filed on June 22, 2000 for US Patent Application SN 08/921,737, filed August 27, 1997, entitled, "Method for Maintaining Well Fluids Within a Shut-In Subsea Pipeline."								
	MG	Anecdote – "Asphalt Transfer Liner from Tank Cars et al." discussed in Supplemental Information Disclosure Statement filed on June 22, 2000 for US Patent Application SN 08/921,737, filed August 27, 1997, entitled, "Method for Maintaining Well Fluids Within a Shut-In Subsea Pipeline."								
	МН	Production Technologies Company Invention Discosure of R. M. Bass dated July 24, 1986, "Disclosure for Controlled Paraffin Deposition in Subsea Pipelines" as discussed in Supplemental Information Disclosure Statement filed on June 22, 2000 for US Patent Application S/N No. 08/921,737, filed August 27, 1997, entitled "Method for Maintinaing Wel Fluids Within a Shut-In Subsea Pipeline."								
1	MI	Haeber, dated April 28 discussed in Supplement	, 1995. 'Appara	uction Technologies Com tus for Introducing Electr Disclosure Statement filed tled "Method for Mainting DATE CONSIDERED	ic Current into d on June 22, 20 aing Well Fluid	and Offshore Pip 000 for US Paters S Within a Shut-	peline." 4p nt Applica <u>In Subsea</u>	p as tion S/N No.		
EXAMINER			<u> </u>	DATE CONSIDERED	200	/////	•			

- 1. The attached cited information should not be construed as an admission that any of the above items are prior art to the subject invention.
- 2. This is not a representation that a search has been made.

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LIS	T OF	INFORMATION PROV	/IDED	APPLICANT Bass et al.					
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E PERENCI	DES:	IGNATION	U.S.	PATENT DOCUMENTS					
Examiner							Filing		
Initial		Document No.	Date	Patentee	Class	Subclass	Appropr	iate	
1	AA	6,142,707	11/07/0	Bass et al.	405	158			
1 4	AB								
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